

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 17:58:13

2. Agency: 025

3. Bureau: 00

4. Name of this Investment: OIG - 309580 OIG Distributed Computing Environment(DCE)

5. Unique Project (Investment) Identifier: 025-00-02-00-01-1999-00

6. What kind of investment will this be in FY 2011?: Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The Department of Housing and Urban Development Office of Inspector General (HUD OIG) is made up of three main elements within its organization. These elements consist of the Office of Audit, the Office of Investigations and the Office of Manpower and Personnel. The organization consists of over 650 users not including contract personnel dispersed in over 40 offices throughout the United States and Puerto Rico. HUD OIG creates independent and objective units to conduct and supervise audits and investigations relating to HUD programs and operations. HUD OIG provides leadership and coordination; and recommends policies for activities designed to promote economy, efficiency, and effectiveness in the administration. HUD OIG provides assistance to prevent and detect fraud, waste and abuse in, HUD programs and operations. HUD OIG users are responsible for providing a means for keeping the head of the Department and Congress current and fully informed about problems and deficiencies relating to the administration of departmental programs and operations and advise on the necessity for, and progress of, corrective action. The HUD OIG Distributed Computing Environment (DCE) is a self contained general support system last accredited September 2009 and provides the primary infrastructure that supports Information Technology (IT) services and resources including application and data management. It is the primary communication link between OIG Headquarters, field offices and the HUD OIG user community. The HUD OIG DCE consists of circuits managed through Verizon Business Multi-Protocol Label Switching (MPLS) network, routers, switches, servers, workstations, printers, wireless, mobile devices and specific internal applications. All network access is granted via Verizon Business managed services including access to the Central Server Facility, the Disaster Recovery Facility, and the Local Area Networks for Headquarters and field offices. Verizon also provides HUD OIG Point of Presence internet connection for all HUD OIG users. External users can connect to HUD OIG resources through Verizon's managed Virtual Private Network (VPN).

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
CM130105CT0030	IT Performance Based-Contract	Y	2006-05-08	2006-05-08	2014-05-08	\$55.0	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of hotline cases closed in a calendar year	50% of hotline case closed in a calendar year	Close 52% of hotline cases in a calendar year	75% hotline cases were closed in 2007
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Decrease the number of days required to respond per hotline inquiry	60 days to respond to hotline inquiries	Reduce number of days to respond to 50 days	55 days to respond to hotline inquiries
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of audit reports published in a calendar year	60 audits reports published in a calendar year	Publish 70 audit reports in a calendar year	For the 2007 Calendar Year 141 Audit Reports were issued
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The percentage of time applications are available during a 24x7 operations environment	95% availability of applications generating pertinent audit and investigation reporting information	Increase applications availability to 98%	99% availability of all applications reported for 2007
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotline cases closed in a calendar year	52% of hotline cases closed in a calendar year	Close 60% of hotline cases by end of year	66% of hotline cases were closed in 2008
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of days to respond per hotline inquiry	50 days to respond to hotline inquiries	Reduce number of days required to respond to 40 days	35 days to respond to hotline inquiries
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of reports published in a fiscal year	70 audit reports published in a fiscal year	Publish 90 audit reports in a fiscal year	A total of 156 audit reports were issued during calendar fiscal 2008
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations cases closed in fiscal a year	50% of outstanding investigations closed in a fiscal year	Close 52% of outstanding investigations	49% of outstanding investigations were closed in FY 2008
2008	Goal E: Embrace High Standards of	*	*	The percentage of time the system is	96% system availability to include the	99% system availability	99% availability of all applications

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Ethics, Management and Accountability			available during business hours	Central Server Facility and the Disaster Recovery Facility		reported for 2008
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The percentage of time the system is available during business hours	96% system availability to include the Central Server Facility and the Disaster Recovery Facility	99% system availability	99.9527%
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of audit reports published in a fiscal year.	70 audit reports published in a fiscal Year	Publish 90 audit reports in a fiscal year.	Issued 197 reports in fiscal year 2009
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations cases closed in a fiscal year	50% of outstanding investigations closed in a fiscal year	Close 55% of outstanding investigations	52% of outstanding investigations were closed
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations cases closed in a fiscal year	50% of outstanding investigations closed in a fiscal year	Close 55% of outstanding investigations	Final Results will be reported in December 2010
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The Percentage of time the system is available during business hours	96% system availability to include the Central Server Facility and the disaster recovery facility	99% system availability	Final Results will be available in December 2010
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The percentage of time the system is available during business hours	96% system availability to include the Central Server Facility and the Disaster Recovery Facility	99% system availability	Final Results will be available in December 2011
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The percentage of time the system is available during business hours	96% system availability to include the Central Server Facility and the Disaster Recovery Facility	99% system availability	Final Results will be available in December 2012
2013	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	The percentage of time the system is available during business hours	96% system availability to include the Central Server Facility and the Disaster Recovery	99% system availability	Final Results will be available in December 2013

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
Facility							
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations closed in a fiscal year	50% of outstanding investigations closed in a fiscal year	Close 55% of outstanding investigations	Final Results will be reported in December 2011
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations closed in a fiscal year	50% of outstanding investigations closed in a fiscal year	Close 55% of outstanding investigations	Final Results will be reported in December 2012
2013	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of outstanding investigations closed in a fiscal year	50% of outstanding investigations closed in a fiscal year	Close 55% of outstanding investigations	Final Results will be reported in December 2013
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotline cases closed in a calendar year	60% of hotline case closed in a calendar year	Close 60% of hotline cases in a calendar year	Closed 84% of hotline cases in a calendar year
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of days required to respond per hotline inquiry	60 days to respond to hotline inquiries	Reduce number of days to respond to 60 days	Number of days to respond per hotline inquiry was 60 days
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotlines cases closed in a calendar year	60% of hotline cases closes in a calendar year	Close 70% of hotline cases in a calendar year	Final Results will be available in December 2010
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of days required to respond per hotline inquiry	60 days to respond to hotline inquires	Reduce the number of days to respond to 60 days	Final Results will be available in December 2010
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of days required to respond per hotline inquiry	60 days to respond to hotline inquires	Reduce the number of days to respond to 60 days	Final Results will be available in December 2011
2012	Goal E: Embrace High Standards of Ethics, Management and	*	*	Number of days required to respond per hotline inquiry	60 days to respond to hotline inquires	Reduce the number of days to respond to 60 days	Final Results will be available in December 2012

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Accountability						
2013	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of days required to respond per hotline inquiry	60 days to respond to hotline inquiries	Reduce the number of days to respond to 60 days	Final Results will be available in December 2013
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotline cases closed in a calendar year	60% of hotline cases closed in a calendar year	Close 70% of hotline cases in a calendar year	Final Results will be available in December 2011
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotline cases closed in a calendar year	60% of hotline cases closed in a calendar year	Close 70% of hotline cases in a calendar year	Final Results will be available in December 2012
2013	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of hotline cases closed in a calendar year	60% of hotline cases closed in a calendar year	Close 70% of hotline cases in a calendar year	Final Results will be available in December 2013
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of audit reports published in a fiscal year	75 audit reports publish in a fiscal year	Publish 100 audit reports in a fiscal year	Final results will be reported in December 2011
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of audit reports published in a fiscal year	75 audit reports publish in a fiscal year	Publish 100 audit reports in a fiscal year	Final results will be reported in December 2012
2013	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of audit reports published in a fiscal year	75 audit reports publish in a fiscal year	Publish 100 audit reports in a fiscal year	Final results will be reported in December 2013
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the number of Audit Reports published in a fiscal year	75 Audit Reports published in a fiscal year	Publish 95 audit reports in a fiscal year	Final Results will be provided in December 2010

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
IT Services Contract (Transition + Base)	\$6.2	\$5.3	2006-05-08	2006-05-08	2007-05-07	2007-05-07	100.00%	100.00%
IT Services Contract (Option Year 1)	\$9.2	\$9.2	2007-05-08	2007-05-08	2008-05-07	2008-05-07	100.00%	100.00%
IT Services Contract (Option Year 2)	\$8.5	\$8.5	2008-05-08	2008-05-08	2009-05-07	2009-05-07	100.00%	100.00%
IT Services Contract (Option Year 3)	\$8.3	\$8.9	2009-05-08	2009-05-08	2010-05-07	2010-05-07	100.00%	100.00%
IT Services Contract (Option Year 4)	\$6.9	\$2.0	2010-05-08	2010-05-08	2011-05-07		25.00%	25.00%
IT Services Contract (Option Year 5)	*	*	2011-05-08		2012-05-07		0.00%	0.00%
IT Services Contract (Option Year 6)	*	*	2012-05-08		2013-05-07		0.00%	0.00%
IT Services Contract (Option Year 7)	*	*	2013-05-08		2014-05-07		0.00%	0.00%

* - Indicates data is redacted.